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PTO/SB/21 (09-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

93

Application Number	10/616,147
Filing Date	07-08-2003
First Named Inventor	Klaus KUNZE et al.
Art Unit	1775
Examiner Name	
Attorney Docket Number	KOV-004

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input checked="" type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Form PTO/SB/08A - Form PTO/SB/08B - Cited References (9) - Return Receipt Postcard
<input type="checkbox"/> Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	The Law Offices of Andrew D. Fortney, Ph.D., P.C.		
Signature			
Printed name	Andrew D. Fortney, Ph.D., P.C.		
Date	06-13-2006	Reg. No.	34,600

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Signature			
Typed or printed name	Amanda S. Tout	Date	06-13-2006

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Atty. Docket No. KOV-004



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

:

Klaus KUNZE et al.

: GROUP ART UNIT: 1775

APPLICATION NO: 10/616,147

:

FILED: 07-08-2003

: EXAMINER:

FOR: Compositions and Methods for Forming a Semiconducting and/or Silicon-Containing Film, and Structures formed therefrom

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By:

Amanda S. Tout

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56 AND 1.97-98

MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached form(s) for the Examiner's consideration. One copy of each of the listed documents, other than any U.S. patents, U.S. patent publications and/or pending U.S. applications, is submitted herewith.

This Information Disclosure Statement is being filed:

- ☒ concurrently with the application, within three months of the filing date of the application, or before the mailing date of a first Office Action on the merits;
- ☐ before the mailing of a first Office Action after the filing of a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114; or
- ☐ more than three months from the filing date of this application or after the mailing date of the first Office Action on the merits, but before the mailing date of the first to occur of either: (1) a final action under 37 C.F.R. § 1.113; (2) a Notice of Allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Atty. Docket No. KOV-004
Application No: 10/616,147

Therefore:

- ☒ No Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.
- ☐ The fee under 37 C.F.R. § 1.17(p) accompanies this submission.

Statement under 37 C.F.R. § 1.97(e) (if applicable):

- ☐ Each item of information in the accompanying list(s) was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information in the accompanying list(s) was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained herein was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Nothing in this Information Disclosure Statement or its submission shall be construed as an admission against interest in any manner, including as an admission or representation regarding: (i) the completeness of the list of information, (ii) the status of any listed information or document as prior art, (iii) any search that may or may not have been made, (iv) the pertinence or relevance of any listed information to this application, (v) the possible or actual materiality of the listed information to the patentability of the claims of this application, or (vi) the existence or nonexistence of other (potentially) material information. Applicant does not waive any right to take any action that would appropriate to antedate or otherwise remove any listed information or document as a competent or available reference against the claims of the present application.

Respectfully submitted,



Andrew D. Fortney, Ph.D.
Reg. No. 34,600

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PTO/SB/08A (07-05)
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/616,147
Filing Date	07-08-2003
First Named Inventor	Klaus KUNZE et al.
Art Unit	1775
Examiner Name	
Attorney Docket Number	KOV-004

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ^d
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
	CI	WO 00/59041	10-05-2000	SEIKO EPSON CORP.		
	CJ	JP 9-45922	02-14-1997	SHOWA DENKO KK		
	CK	JP 7-267621	10-17-1995	SHOWA DENKO KK		
	CL	JP 6-191821	07-12-1994	SHOWA DENKO KK		
	CM	JP 60-242612	12-02-1985	CANON INC		

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/616,147
				Filing Date	07-08-2003
				First Named Inventor	Klaus KUNZE et al.
				Art Unit	1775
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	KOV-004

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	DA	Yutaka KITSUNO, Kotaro YANO, Akira SAKAWAKI, Keiji KAWASAKI; Method for forming poly crystal silicon film; Patent Abstracts of Japan; Publication Date: February 14, 1997; Publication No. JP 09045922 A; Japanese Patent Office, Japan	
	DB	Kotaro YANO, Yutaka KITSUNO, Akira SAKAWAKI, Keiji KAWASAKI; Formation of silicon membrane; Patent Abstracts of Japan; Publication Date: October 17, 1995; Publication No. JP 07267621 A; Japanese Patent Office, Japan	
	DC	Kotaro YANO, Yutaka KITSUNO, Shoichi TAZAWA, Keiji KAWASAKI; Higher order silane containing solution for forming silicon film; Patent Abstracts of Japan; Publication Date: July 12, 1994; Publication No. JP 6191821 A; Japanese Patent Office, Japan	
	DD	Yukio NISHIMURA, Hiroshi MATSUDA, Masahiro HARUTA, Yutaka HIRAI, Takeshi EGUCHI, Takashi KATAGIRI; Deposition film forming method; Patent Abstracts of Japan; Publication Date: December 02, 1985; Publication No. JP 60242612 A; Japanese Patent Office, Japan	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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